

Diogenes LLC Pre-employment Screening Report

Date: 04/18/01
Client: Big Blue Machines Inc.
Requester: Steven R. Conway
Criteria: IdentiCheck, Criminal, Civil, Education, Employment, Prof. License & Driver's History

Applicant: David R. Smith
SSAN: 676-12-3456
Address: 1619 Mountain Ave
San Jose, CA 95128
Phone: (885) 555-6232

Alterity Alerts: Criminal & Civil Records, Previous Employment, Professional License, & Driver's History

IdentiCheck

Database information can be subject to errors. Recent moves and data entry errors can cause inconsistencies and inaccuracies when comparing applicant information to database information.

Applicant Data Highlights:

Name: David R. Smith
Address: Verified
SSN: Verified
Telephone: Valid Residential Telephone

Address Summary

Database records indicate that the applicant has been associated with the following addresses.

Address(es) :

619 Mountain Ave, San Jose, CA 95128
1491 SW 5th Ave., Jacksonville, FL 32244
10459 N 28th Ave., Phoenix, AZ 85051
910 15th St., Denver, CO 80202

County Summary

Based on the address summary, the applicant has lived in the following counties.

Counties of Residence:

SANTA CLARA, CA
DUVAL, FL
MARICOPA, AZ
DENVER, CO

Criminal Records SearchResult: **RECORD FOUND**

Criminal records were searched in the following counties as available by the court and/or allowed by law:

| <u>Counties Selected</u> | <u>Type</u> | <u>County</u> | <u>Federal</u> | <u>Results</u> |
|--------------------------|----------------------|---------------|----------------|----------------|
| SANTA CLARA, CA | Felony / Misdemeanor | Yes | Yes | Clear |
| DUVAL, FL | Felony / Misdemeanor | Yes | Yes | Clear |
| MARICOPA, AZ | Felony / Misdemeanor | Yes | Yes | Record |
| DENVER, CO | Felony / Misdemeanor | Yes | Yes | Clear |

Criminal Case Information:

Name on File: David Robert Smith
Court: Maricopa County Superior Court
Date Filed: 04/12/94
Case #: C23544556
Charge Level: Felony
Charges: PC 234, Burglary
Disposition: Guilty, 5/30/94
Sentence: 24 months jail, fine, fees, 3 years probation
Additional Info: None

Civil Records SearchResult: **RECORD FOUND**

Civil records were searched in the following counties during the past 7 years as available by the Court:

| <u>Counties Selected</u> | <u>Type</u> | <u>County</u> | <u>Federal</u> | <u>Results</u> |
|--------------------------|-------------|---------------|----------------|----------------|
| SANTA CLARA, CA | Upper | Yes | Yes | Record |
| DUVAL, FL | Upper | Yes | Yes | Clear |
| MARICOPA, AZ | Upper | Yes | Yes | Clear |
| DENVER, CO | Upper | Yes | Yes | Clear |

Civil Case Information:

Name on File: David R. Smith
Court: Santa Clara County Superior Court
Date Filed: 03/11/97
Case #: CV612356
Defendant: David R. Smith
Plaintiff: Renee R. Walters
Type: Domestic Violence
Status: Pending
Additional Info: A restraining order was issued against David R. Smith on 08/01/97

Education Verification

| <u>Criteria</u> | <u>Applicant Reported</u> | <u>School Reported</u> |
|----------------------|---------------------------|---------------------------|
| School Name: | San Jose State University | San Jose State University |
| City: | San Jose | San Jose |
| State: | California | California |
| Degree: | BS | BS |
| Major: | Economics | Economics |
| Attended: | 1986 to 1990 | 1986 to 1990 |
| Graduate: | Yes | Yes |
| Year: | 1990 | 1990 |
| Institute Spokesman: | n/a | Josh White (Registrar) |

Previous Employment Verification

| <u>Criteria</u> | <u>Applicant Reported</u> | <u>Employer Reported</u> |
|---------------------|---------------------------|--------------------------|
| Employer: | West Marine, Inc. | West Marine, Inc. |
| City: | Jacksonville | Jacksonville |
| State: | Florida | Florida |
| Position: | Sales Manager | Sales Assistant |
| From: | 01/01/96 | 01/01/96 |
| To: | Current | 03/31/98 |
| Reason for Leaving: | Career Advancement | Terminated |
| Supervisor: | John Smith | Amy Jones |
| Employer Spokesman: | n/a | Mrs. Robinson (HR) |

Comments: According to Mrs. Robinson, the subject was terminated for violation of company policy. Attempts to ascertain the policy violation from Mrs. Robinson were unsuccessful. Mrs. Robinson did state the subject was classified as not re-hireable.

Ms. Jones was contacted, confirmed the information reported by Mrs. Robinson, and declined to discuss specifics. Ms. Jones did state that the subject learned his position quickly and met quarterly goals. She continued by stating the subject had "attendance issues" towards the end of his employment. No further information was provided or available.

Professional License Verification

| <u>Criteria</u> | <u>Applicant Reported</u> | <u>Results</u> |
|-----------------------|---------------------------|------------------------------|
| Name on License: | David R. Smith | David R. Smith |
| License Type: | CNE | CNE |
| License Number: | 234234 | 234234 |
| Issuing Agency: | Microsoft Corporation | Microsoft Corporation |
| City: | Redmond | Redmond |
| State: | Washington | Washington |
| Issued: | 03/01/92 | 03/01/92 |
| Expires: | 02/28/00 | 02/28/96 |
| Current Status: | Active | Delinquent |
| Disciplinary Actions: | None | Pending |
| Comments: | None | Certification is not current |

Driver's History

| <u>Criteria</u> | <u>Applicant Reported</u> | <u>Results</u> |
|------------------|---------------------------|---------------------|
| Name on License: | David R. Smith | David R. Smith |
| License Type: | Class 3 | Class 3 |
| License Number: | 234234 | 234234 |
| Issuing Agency: | State of CA | State of CA |
| Restrictions: | None | Eyeglasses required |
| Issued: | 03/01/92 | 03/01/92 |
| Expires: | 02/28/00 | 02/28/96 |
| Current Status: | Active | Suspended |
| Violations: | None | Record Found |

Violation Detail:

| <u>Date</u> | <u>Violation</u> | <u>Locale</u> | <u>Status</u> |
|-------------|------------------------------|----------------|----------------------------|
| 12/25/95 | Citation for excessive speed | Long Beach, CA | Default for non-payment |
| 12/25/95 | Attaching Plates | Long Beach, CA | Guilty - 30 days suspended |

This is a sample report. The information contained in this report is fictitious and is intended for reference use only.